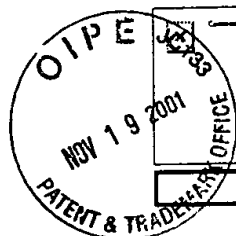


JCU7 Rec'd PCT/PTO 19 NOV 2001



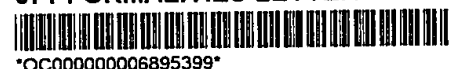
| | | |
|-----------------------------|--|--|
| U.S. APPLICATION NUMBER NO. | | |
| 09/868,601 | | |
| FIRST NAMED APPLICANT | | |
| Mark Robert James | | |
| ATTY. DOCKET NO. | | |
| P 281297 | | |

00909
 PILLSBURY WINTHROP LLP
 1600 TYSONS BOULEVARD
 MCLEAN, VA 22102

| | |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/GB99/04209 | |
| I.A. FILING DATE | PRIORITY DATE |
| 12/13/1999 | 12/21/1998 |

CONFIRMATION NO. 3270

371 FORMALITIES LETTER



OC000000006895399

Date Mailed: 10/19/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

RECEIVED

PILLSBURY WINTHROP LLP/DC

OCT 24 2001
 CL# 76602 MT# 281297
 ATTY(S) PNR
 DUE: 11-19-01
 DKT BY (1) DMW (2) mtt

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of **\$378** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$508** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$378**
 - **\$108** for 6 total claims over 20.
 - **\$270** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

SHELBY J VIGIL

Telephone: (703) 305-3653

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/868,601 | PCT/GB99/04209 | P 281297 |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

#3

BOX PCT

COMPLETION
For PCT Cases Only

In re PATENT APPLICATION of

Inventor(s): JAMES ET AL

Appl. No.: 09 868,601
Series Code ↑ Serial No. ↑

Attn: Application Division
Atty. Dkt. P 281297 SMC.60331/UST
M# Client Ref

National Phase Field

Based on PCT GB99 04209
Country Code & Year

Title: INK-JET INK COMPOSITIONS

Date: November 19, 2001

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE

Asst. Commissioner of Patents
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ Translation of the International Application into English including:
 - a. ☐ Request;
 - b. ☐ Abstract
 - c. ___ pgs. Spec. and Claims;
 - d. ☐ Translation verification
 - e. ___ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of International Search Report (ISR) attached (___ page(s))
 - a. ☐ plus Annex of family members (___ page(s))
5. Information Disclosure Statement including
 - a. ☐ From PTO-1449 listing documents
 - b. ☐ Copies of document(s) listed on Form PTO-1449
 - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☒ Assignment and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. ___ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: ___ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☐ Attached:
12. ☐ Preliminary Amendment:
13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item
☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25
15. **CLAIMS FEES** ☐ previously paid ☐ paid herewith as follows:
- 15A. Small Entity Statement ☐ Herewith ☐ Previously Filed

| | | | | Large/Small Entity | | Fee Code |
|---|----|------------|---|--------------------|-----------------|----------|
| 16. Total Effective Claims | 26 | minus 20 = | 6 | x \$18/\$9 | +108 | 966/967 |
| 17. Independent Claims | 1 | minus 3 = | 0 | x \$84/\$42 | +0 | 964/965 |
| 18. If any proper multiple dependent claim (ignore improper) is present, | | | | \$280/\$140 | +280 | 968/969 |
| 19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now | | | | \$130/\$65 | +130 | 154/254 |
| 20. SUBTOTAL | | | | | \$518 | |
| 21. Original due date: November 19, 2001 | | | | | | |
| 22. Petition is hereby made to extend the original due date to | | | | (1 mo) | \$110/\$55 = | +0 |
| cover the date this response is filed for which the requisite fee | | | | (2mos) | \$400/\$200 = | 115/215 |
| is attached | | | | (3mos) | \$920/\$460 = | 116/216 |
| | | | | (4mos) | \$1,440/\$720 = | 117/217 |
| 23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee | | | | \$130 | +0 | 118/218 |
| 24. If "assignment" box 6 is X'd, add recording fee. | | | | \$40 | +40 | 156 |
| 25. TOTAL FEE ENCLOSED = | | | | | \$558 | 581 |

(Our Deposit Account No. 03-3975)

(Our Order No. 70662 | 281297

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

1600 Tysons Boulevard
 McLean, VA 22102
 Tel: (703) 905-2000

By Atty: Paul N. Kokulis

Reg. No. 16773

Sig:

Fax: (703) 905-2500
 Tel: (703) 905-2118

PNK/MAH

NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

11/20/2001 HNGUYEN 00000023 09868601

| | |
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| 01 FC:966 | 108.00 OP |
| 02 FC:968 | 280.00 OP |
| 03 FC:154 | 130.00 OP |